

MEETING:	Audit Committee
DATE:	Wednesday, 17 January 2018
TIME:	4.00 pm
VENUE:	Reception Room, Barnsley Town Hall

AGENDA

Procedural/Administrative Items

1. Declarations of Pecuniary and Non-Pecuniary Interest
2. Minutes (*Pages 5 - 12*)

To receive the minutes of the meeting held on the 6th December, 2017.

3. Actions Arising From the Previous Meetings (*Pages 13 - 14*)

The Committee will receive a report detailing action taken and arising from previous meetings of the Committee.

Items for Discussion/Decision

4. Strategic Risk Register - Highways matters

The Executive Director will report on matters within the Strategic Risk Register relating to highways.

5. Internal Audit Quarterly Report - Quarter ended 31st December, 2017 (*Pages 15 - 34*)

The Head of Internal Audit and Corporate Anti-Fraud will submit a report presenting a comprehensive overview of the key activities and findings of Internal Audit based on the Division's work for the whole of the third quarter ending 31st December, 2017 for the 2017/18 audit year.

6. Risk Management Update Report 2017/18 (*Pages 35 - 44*)

The Executive Director Core Services will submit a report outlining the progress made to date in 2017/18 towards the achievement of the goals set out in the Council's Risk Management Policy and signposting further work to be undertaken during the year.

7. Annual Governance Review Process 2017/18 (*Pages 45 - 58*)

The Service Director Finance will submit a report providing an update of the Annual Governance Review process that has been determined for 2017/18 which will be used to influence and assist in the preparation of the Council's statutory Annual Governance Statement for 2017/18.

8. Annual Governance Statement Action plan 2017/18 (*Pages 59 - 68*)

The Chief Executive and Executive Director Core Services will submit a joint report supporting the updated action plan relating to the issues identified following

the Annual Governance Review for 2016/17.

Items for Information

9. Information Governance Performance - Quarter 3 (2017/18) *(Pages 69 - 76)*

The Service Director IT will submit a report outlining the current position in relation to the number of information security breaches and cyber incidents which have been reported and investigated during Quarter 3 (1st October to 31st December, 2017).

10. Information Commissioners Audit and General Data Protection Regulations Programme *(Pages 77 - 86)*

The Service Director IT and Head of Internal Audit and Corporate Anti-Fraud will submit a joint report providing an overview of the recent Information Commissioners Office audit and on the progression towards General Data Protection Regulations compliance.

11. External Audit - Annual Report on grants and returns 2016/17 *(Pages 87 - 94)*

The Committee will receive a report of the External Auditor summarising the work undertaken on the Council's 2016/17 grant claims and returns including the work completed under the Public Sector Audit appointment certification arrangements, on the work undertaken on other grants/returns under separate engagement terms, detailing the certification work on the Housing Subsidy Benefit claim and outlining the fees for undertaking this work.

12. Audit Committee Work Plan 2017/18 and 2018/19 *(Pages 95 - 98)*

The Committee will receive the indicative Work Plan for the proposed schedule of meetings for the remainder of the 2017/18 Municipal Year and for 2018/19.

To: Chair and Members of Audit Committee:-

Councillors Clements (Chair), Barnard, Lofts and Richardson; together with Independent members Ms K Armitage, Ms D Brown, Mr S Gill, Mr P Johnson and Mr M Marks

Diana Terris, Chief Executive
All Executive Directors
Andrew Frosdick, Executive Director Core Services
Rob Winter, Head of Internal Audit
Neil Copley, Service Director Finance
Ian Rooth, Head of Financial Services
Adrian Hunt, Risk Management Manager
Michael Potter, Service Director Business Improvement and Communications
Louise Booth, Audit Manager

Council Governance Unit – 3 copies

Please contact William Ward on 01226 773451 or email governance@barnsley.gov.uk

Tuesday, 9 January 2018